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DATE: September 28, 2006

TO: Examiner Dennis R. Cordray  
TC Art Unit: 1731

Fax No.: (571) 273 8300

FROM: Charles L. Gagnebin III

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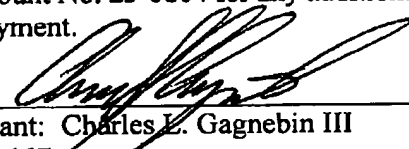
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Sent by: Marilyn

Application No. 10/517,011

Filed Date: July 7, 2005

Confirmation No.: 2774

A confirmation copy of this transmission will not be mailed unless the following is checked: ☐  
MESSAGEPLEASE DELIVER DIRECTLY TO:  
EXAMINER DENNIS R. CORDRAY, Tel. (571) 272-8244  
TC ART UNIT NO: 1731**FOR ENTRY**Enclosed for filing please find a: Response to Notice of Non-Compliant  
Amendment dated September 18, 2006.The Commissioner is hereby authorized to Charge Deposit Account No. 23-0804 for any additional  
filing fees associated with this communication or credit any overpayment.  
\_\_\_\_\_  
Attorney for Applicant: Charles L. Gagnebin III  
Registration No. 25,467

CLG/mrb/342097.1

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Application No.: 10/517,011  
 Filed: July 7, 2005  
 TC Art Unit: 1731  
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Rev 5/06

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Date: September 28, 2006

Via Facsimile  
**COMMISSIONER FOR PATENTS**  
 P.O. Box 1450  
 Alexandria, VA 22313-1450

Attorney  
 Docket No.: AK-480XX

Sir:

In re application of: Takumi Ohara et al.

Entitled: **WATER-SOLUBLE POLYMER DISPERSION, PROCESS FOR PRODUCING THE SAME AND METHOD OF USE THEREFOR**

Transmitted herewith is a **Response to Notice of Non-Complaint Amendment** in the above-identified application. The following checked items are applicable:

- ☐ This is a Request for Continued Examination under §1.114; authorization is provided herewith to charge Deposit Account No. 23-0804 for the cost of same (\$ \_\_\_\_\_) per §1.17(e).  
☐ Enter the unentered amendment previously filed on \_\_\_\_\_ per §1.116.

☒ Small Entity Status is asserted.

- ☐ A Petition for Extension of Time for \_\_\_\_ month is hereby made under §1.136(a); authorization is provided herewith to charge Deposit Account No. 23-0804 for the cost of same (\$ \_\_\_\_\_) per §1.17.

☒ In the event a Petition for Extension of Time is required by this paper and not otherwise provided, such Petition is hereby made and authorization is provided herewith to charge Deposit Account No. 23-0804 for the cost of such extension.

☐ Other:

CLAIMS AFTER AMENDMENT:	MINUS PRIOR PAID CLAIMS:	EQUALS PRESENT EXTRA CLAIMS:	RATE:	ADDITIONAL FEE:
Independent	6 - 7	= 3	x \$200.00 =	0
Total	66 - 105	= 0	x \$ 50.00 =	0
<input type="checkbox"/> Multiple Dependent Claims (1st presentation)			+ \$360.00 =	0
SUBTOTAL ADDITIONAL FEE				0
Small Entity filing, divide by 2. Small Entity status must be asserted.				0
TOTAL ADDITIONAL FEE				0

☒ No additional fee. ☐ The fee has been calculated above; authorization is provided herewith to charge Deposit Account No. 23-0804 (\_\_\_\_\_) for the cost of same.

☒ The Commissioner is hereby authorized to charge payment of any additional filing fees under §1.16 associated with this communication or credit any overpayment to Deposit Account No. 23-0804.

I hereby certify that this correspondence is being sent via facsimile to Examiner Dennis B. Cordray, TC Art Unit 1731, Fax No. (571) 273 8300, on 9-28-06.

Attorney of Record: Charles L. Gagnebin III  
 Registration No.: 25,467

CLG/mrb/340511.1

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SEP 28 2006


PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application : Takumi Ohara et al.  
Application No. : 10/517,011  
Filed : July 7, 2005  
Confirmation No. : 2774  
For : WATER-SOLUBLE POLYMER DISPERSION, PROCESS  
FOR PRODUCING THE SAME AND METHOD OF USE  
THEREFOR  
Examiner : Dennis R. Cordray  
Attorney's Docket : AK-480XX

TC Art Unit: 1731

\*\*\*\*\*  
I hereby certify that this correspondence is being sent via  
facsimile to Examiner Dennis R. Cordray, TC Art Unit 1731, Fax No.  
(571) 273 8300, on 9-28-06.

By:   
Charles L. Gagnebin III  
Registration No. 25,467  
Attorney for Applicant(s)

\*\*\*\*\*  
RESPONSE TO NOTICE OF NON-COMPLIANT AMENDMENT

Via Facsimile  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In response to the Notice of Non-Compliant Amendment dated  
September 18, 2006, please amend the above-identified patent  
application as follows and consider the related remarks.

WEINGARTEN, SCHURGIN,  
GAGNEBIN & LEBOVICI LLP  
TEL. (617) 542-2290  
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